

Individual Savings Account (ISA)

Application Form (stocks and shares)

Full Name: _____ Title: _____

Permanent residential address: _____

Postcode: _____

Date of birth: _____

Do you have a National Insurance (NI) Number? Tick as appropriate: Yes No

If Yes, enter here: _____

You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the Department of Works and Pensions (DWP), or pension order book.

If you have an existing Canaccord Genuity Wealth Management ISA, please provide the reference: _____

I apply to subscribe for a stocks and shares ISA for the tax year 20 ____ / ____ and each subsequent year until further notice. (Please do not delete "each subsequent year" as this does not commit you to subscribe each year).

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same year that I subscribe to this stocks and shares ISA, and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Canaccord Genuity Wealth Management if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

Income will normally be retained within the ISA. However, if you want it paid to your main account please tick here

Fees will normally be taken from the ISA. However, if you want them to be paid from your main account please tick here

I authorise Canaccord Genuity Wealth Management:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of ISA investments.

I agree to the ISA terms and conditions.

I declare that this application form has been completed to the best of my knowledge and belief.

Signature: _____ Date: _____