

# Individual Savings Account (ISA)

Transfer Application Form (stocks and shares)

Full Name: \_\_\_\_\_ Title: \_\_\_\_\_

Permanent residential address: \_\_\_\_\_

\_\_\_\_\_  
Postcode: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Do you have a National Insurance (NI) Number? Tick one box:  Yes  No

If Yes, enter here: \_\_\_\_\_

You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the Department of Works and Pensions (DWP), or pension order book.

## I apply to:

- transfer my existing ISA with the manager listed overleaf.
- subscribe to the Canaccord Genuity Wealth Management stocks and shares ISA for the tax year 20 \_\_\_\_ / \_\_\_\_ and each subsequent year until further notice. (Please do not delete "each subsequent year" as this does not commit you to subscribe each year)

**Income** will normally be retained within the ISA. However, if you want it paid to your main account please tick here.

**Fees** will normally be taken from the ISA. However, if you want them to be paid from your main account please tick here.

## I declare that:

- All subscriptions made, and to be made, belong to me; (delete if you will not be subscribing to this ISA)
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same year that I subscribe to this stocks and shares ISA; (delete if you will not be subscribing to this ISA) and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Canaccord Genuity Wealth Management if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties (delete if you are a non resident and are only transferring your ISA, you will not be able to subscribe to this ISA)

## I authorise Canaccord Genuity Wealth Management:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- to make on my behalf any claims to relief from tax in respect of ISA investments.

## Details of and instruction to existing plan manager

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Postcode: \_\_\_\_\_

Telephone number: \_\_\_\_\_

Reference number: \_\_\_\_\_

I wish to transfer my ISA indicated above to a plan managed by Canaccord Genuity Wealth Management, 41 Lothbury, London EC2R 7AE, 020 7523 4610 and have informed them of my wish to transfer and ask that you liaise with them regarding all aspects of the transfer and supply all information required to effect this.

1. This is a cash ISA/TOISA. \_\_\_\_

Or

2. Please arrange the sale of my existing stocks and shares and transfer the cash to Canaccord Genuity Wealth Management. \_\_\_\_

Or

3. Please advise Canaccord Genuity Wealth Management of the existing stocks and shares in my plan and confirm the transfer arrangements with them. Please sell any that you cannot re-register and pay the proceeds and existing cash to Canaccord Genuity Wealth Management. \_\_\_\_

I am aware that often Unit trusts and OEICS cannot be transferred.

**I agree to the ISA terms and conditions.**

**I declare that this application form has been completed to the best of my knowledge and belief.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_