

Our investment process

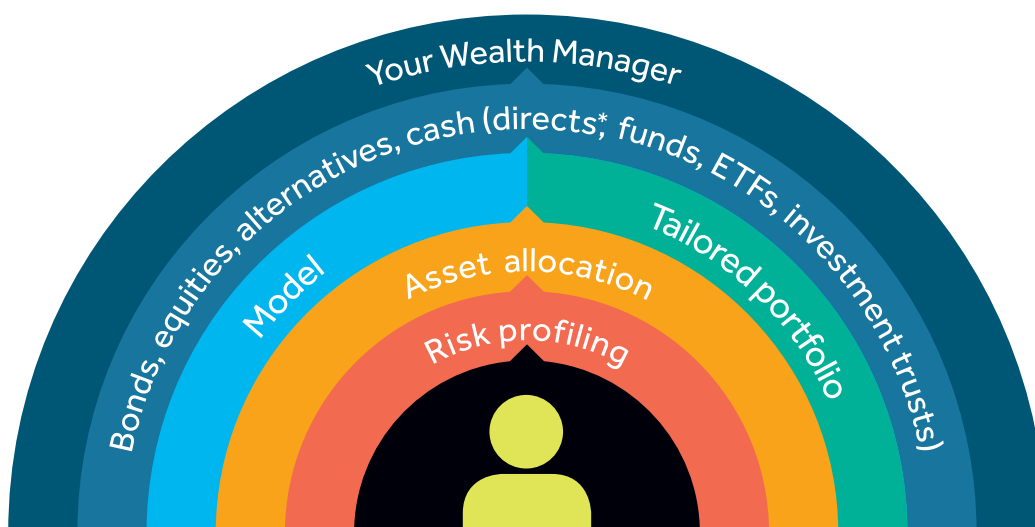
Our goal is to meet your clients' personal investment needs and achieve the best possible returns for their chosen level of risk.

Based on a global asset allocation framework, our rigorous, robust and highly analytical investment process includes in-depth research, continuous monitoring and the interaction of a number of investment committees.

Like a combination lock, each layer of our investment process must be aligned before we can start to create risk-adjusted portfolios for your clients.

- Our in-house experts select investments from the open market to create a range of quality investment opportunities
- We offer nine different risk profiles to suit each client's attitude to risk (see our risk framework brochure for details)

- We offer many different investments, including equities, funds, exchange-traded funds (ETFs), investment trusts, alternatives, bonds and cash
- Our specialist investment committees combine their knowledge to agree the best positioning for each client's portfolio
- We check each client's portfolio against their objectives and the industry benchmark to make sure it's on track
- We actively manage and monitor clients' investments to maximise returns and minimise losses
- As we don't offer tax advice at Canaccord Genuity Wealth Management, we'll work closely with any tax, accountancy or legal professionals supporting a client, to make sure the decisions we make on their behalf dovetail with their other arrangements.



* Directs – direct bond, equity, alternative and cash holdings, rather than via a fund or other investment vehicle.

The investments discussed in this document may not be suitable for all investors. Investors should make their own investment decisions based upon their own financial objectives and financial resources and, if in any doubt, should seek advice from an investment adviser.

How can we help?

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If you'd like to know more about how we can help with your clients' investment needs, get in touch. We will be delighted to answer your questions and provide more details of our services.

Offices nationwide and in the Crown Dependencies

Blackpool
Guernsey
Guildford
Isle of Man
Jersey

Lancaster
Llandudno
London
Newcastle
Norwich

Southampton
Worcester
York

canaccordgenuity.com/wealth-management-uk

Important information

Investment involves risk. The value of investments and the income from them can go down as well as up and investors may not get back the amount originally invested. Past performance is not necessarily a guide to future performance.

The investments discussed in this document may not be suitable for all investors. Investors should make their own investment decisions based upon their own financial objectives and financial resources and, if in any doubt, should seek advice from an investment adviser.

External factors may cause an entire asset class to decline in value. Prices and values of all shares or all bonds could decline at the same time.

The tax treatment of all investments depends upon individual circumstances and the levels and basis of taxation may change in the future. Investors should discuss their financial arrangements with their own tax adviser before investing.

Where investment is made in currencies other than the investor's base currency, the value of those investments, and any income from them, will be affected by movements in exchange rates. This effect may be unfavourable as well as favourable.

This document is for information only and is not to be construed as a solicitation or an offer to purchase or sell investments or related financial instruments. This has no regard for the specific investment objectives, financial situation or needs of any specific investor.

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