

# Fees & other charges

## Inheritance tax portfolio service via a financial adviser

### Account fees and charges

Annual management fee 1%  
Calculated on the value of your portfolio at the end of each quarter<sup>1</sup>, and charged quarterly in advance.

### Other charges relating to portfolio activity

Transaction charge<sup>2</sup> £40 per transaction

### Other charges

VAT is applied to all management fees.

New issues and UK equity bargains over £10,000 will attract the Panel on Takeovers and Mergers (PTM) Levy of, currently, £1 per transaction.

Legal Entity Identifier where required  
£90 + VAT.

### Transfer out charges

If you transfer your assets to another manager the following charges will apply:

- UK securities held electronically in CREST £20 per line

Payments:

- BACS payments are free of charge
- CHAPS/SWIFT £25 per payment.

### Additional services

Additional charges may apply where you request additional services or information, for example, special valuations. Please speak to your Canaccord Genuity contact for details.

### Charges illustration

Year 1	Monetary value	Percentage of investment
Initial investment:	£1,000,000	
Investment and/or ancillary services <sup>3</sup>	£13,440	1.34%
Financial instruments <sup>4</sup>	£0	0.00%
Total costs and charges <sup>5</sup>	£13,440	1.34%

Year 5 including investment growth <sup>6</sup>	Monetary value	Percentage of investment
Portfolio value:	£1,276,282	
Investment and/or ancillary services <sup>3</sup>	£14,746	1.21%
Financial instruments <sup>4</sup>	£0	0.00%
Total costs and charges <sup>5</sup>	£14,746	1.21%

<sup>1</sup> The last business day of March, June, September and December

<sup>2</sup> Referred to historically as the administration charge

<sup>3</sup> CGWM management fee, trade costs, VAT and stamp duty

<sup>4</sup> Fees on collective investments including transaction costs

<sup>5</sup> CGWM does not receive third party payments, such as trail commission; therefore, any third party payment charge would be zero in year 1 and subsequent years and excluded from this illustration

<sup>6</sup> Including 5% compound annual growth rate. Total growth £276,282 and total charges £68,388.

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