

## Execution Venues – August 2021

Canaccord Genuity Limited uses the following execution venues when required to obtain best execution as defined by MiFID. This list is not exhaustive and is subject to change as described in the Order Execution Policy for Retail / Professional Clients. Canaccord may also use other venues where it deems appropriate.

| Asset Class  | Region                       | Execution Venues   |
|--|------------------------------|--|
| Equities   | EMEA                         | London Stock Exchange (direct as member)<br>Turquoise (direct as member)<br>Aquis Stock Exchange (direct as member)<br>Participant of CBOE Europe Equities<br>International Property Exchange (direct as member) |
|  | Canada                       | Canaccord Genuity Corporation (CARE and DMA)   |
|  | United States                | Canaccord Genuity LLC (CARE and DMA)   |
|  | Australia                    | CG Australia (CARE and DMA)  |
| Fixed income – Gilts and Bonds<br>PIBS and Preference Shares | United Kingdom<br>and Europe | Canaccord Genuity Limited<br>London Stock Exchange   |

Canaccord accesses all other systematic Internalisers via DMA and has agreements with the following brokers:

|                               |                             |                         |                          |                        |                         |
|-------------------------------|-----------------------------|-------------------------|--------------------------|------------------------|-------------------------|
| Bank of America Merrill Lynch | Barclays Capital Securities | Citadel Connect Europe  | Citigroup Global Markets | Deutsche Bank (London) | Goldman Sachs (London)  |
| Jane Street Financial         | JP Morgan (London)          | Morgan Stanley (London) | Sun Trading              | Tower Research         | Virtu Financial Ireland |

Canaccord also has access to the following Multilateral Trading Facilities (MTF) names:

|   |                                 |
|---|---------------------------------|
| Aquis Exchange  | Citi Match                      |
| Blockmatch Dark   | Euro MTF                        |
| Boerse Berlin Equiduct trading - Berlin second regulated market | Euronext Block                  |
| Boerse Berlin Equiduct trading - Freiverkehr                    | ITG Posit                       |
| Boerse Berlin Equiduct trading - Regulierter Markt              | Liquidnet Systems               |
| CBOE Europe - LIS service                                       | LX Europe                       |
| CBOE Europe - BXE periodic                                      | Sigma X                         |
| CBOE Europe - CXE dark order book                               | Turquoise                       |
| CBOE Europe - CXE order books                                   | Turquoise Dark                  |
| CBOE Europe - BXE dark order book                               | Turquoise Plato Block Discovery |
| CBOE Europe - BXE order books                                   | UBS                             |

We can provide a full list of exchanges we access via third party brokers upon request.