

Execution Venues – March 2020

Canaccord Genuity Limited uses the following execution venues when required to obtain best execution as defined by MiFID. This list is not exhaustive and is subject to change as described in the Order Execution Policy for Retail / Professional Clients. Canaccord may also use other venues where it deems appropriate.

Asset Class	Region	Execution Venues
Equities	EMEA	London Stock Exchange (direct as member) Turquoise (direct as member) Aquis Stock Exchange (direct as member) Participant of CBOE Europe Equities
	Canada	Canaccord Genuity Corporation (CARE and DMA)
	United States	Canaccord Genuity LLC (CARE and DMA)
Fixed income – Gilts and Bonds PIBS and Preference Shares	United Kingdom and Europe	Canaccord Genuity Limited London Stock Exchange

Canaccord accesses all other systematic Internalisers via DMA and has agreements with the following brokers:

Bank of America Merrill Lynch	Barclays Capital Securities	Citadel Connect Europe	Citigroup Global Markets	Credit Suisse Securities	Deutsche Bank (London)	Goldman Sachs (London)
Jane Street Financial	JP Morgan (London)	Morgan Stanley (London)	Societe Generale (London)	Sun Trading	Tower Research	Virtu Financial Ireland

Canaccord also has access to the following Multilateral Trading Facilities (MTF) names:

Aquis Exchange	Citi Match
Blockmatch Dark	Euro MTF
Boerse Berlin Equiduct trading - Berlin second regulated market	Euronext Block
Boerse Berlin Equiduct trading - Freiverkehr	ITG Posit
Boerse Berlin Equiduct trading - Regulierter Markt	Liquidnet Systems
CBOE Europe - LIS service	LX Europe
CBOE Europe - BXE periodic	Sigma X
CBOE Europe - CXE dark order book	Turquoise
CBOE Europe - CXE order books	Turquoise Dark
CBOE Europe - BXE dark order book	Turquoise Plato Block Discovery
CBOE Europe - BXE order books	UBS

We can provide a full list of exchanges we access via third party brokers including affiliated brokers upon request.