

CGWM Funds key facts

October 2020

Independence is a key factor in the successful application of our approach. We are not beholden to any one investment house or company when selecting funds.

Our investment approach has been consistently applied by the same Fund Managers since the launch of our first multi-manager Funds in March 2000, resulting in some of the longest, unbroken track records in the multi-manager sector.

CGWM Fund range

Canaccord Genuity Wealth Management's investment expertise and focussed investment strategy is also available in unitised form, via a range of investment funds suitable to meet the needs of most investors. The CGWM Funds were created to allow those clients who require the investment expertise of a discretionary service but with the economies of scale and greater diversification offered by unitised vehicles.

The CGWM Funds are a range of multi-asset funds managed by our dedicated multi-manager team with differing risk and return attributes that allow clients and

intermediaries to outsource the management of their investments to a Wealth Manager with a longstanding track record in this field.

The CGWM Funds have been managed for over a decade by CGWM and have allowed clients to benefit from our established experience in this discipline, which has long been a core competency of our wealth management proposition. Unlike a traditional fund, in which a single fund manager controls all of the money, our multi-manager portfolios not only spread your money across different asset types, but also different fund managers. With a multi-manager portfolio, the investor is able to track an extensive range of investments through one portfolio. By not putting all your eggs in one basket, we help you diversify your investments and reduce your exposure to risk.

The CGWM Funds provide investors with exposure to multiple asset classes including equities, bonds, commodities and alternative investments. The spread of assets is dictated by the investment and risk objectives of each fund. The unitised approach helps us to be nimbler in today's ever faster moving markets.

Our investment process incorporates quantitative and qualitative screening based on a range of analytical measures linked to both risk and return. Our investment process aims to achieve consistent returns whilst minimising risk.

When choosing investment funds, the consideration of risk is very important. Each strategy has a different range of possible returns, higher returns usually being equated with a higher level of risk.

The CGWM Funds are available through all the major International Life Company Portfolio Bonds and Open Architecture Fund Platforms. The CGWM Funds deal on a daily basis with no redemption penalties.

In addition to the multi-manager funds, we have the Global Equity Fund which is our high-conviction, bottom-up, focused direct equity offering. It is an actively managed fund of great companies from around the world, designed to give you consistent, attractive risk-adjusted returns over the long term.

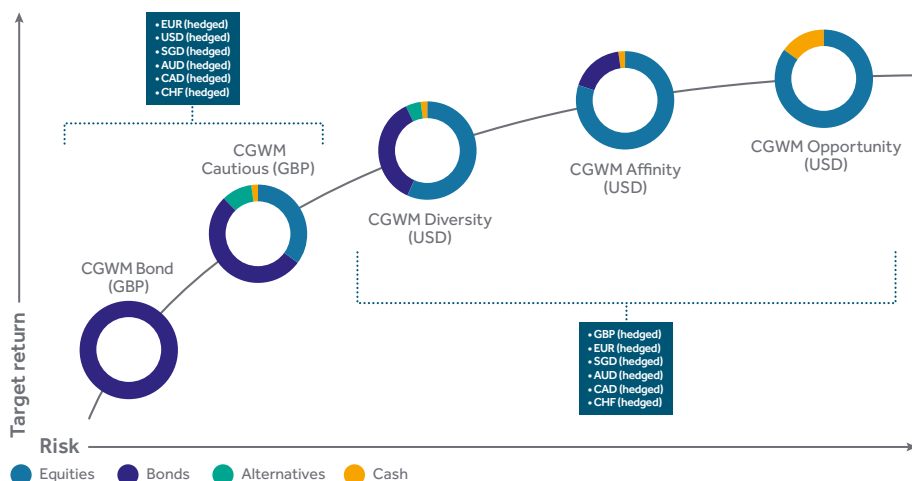
For further information on the CGWM Funds, please visit www.canaccordgenuity.com

Investment involves risk. The value of investments and the income from them can go down as well as up and you may not get back the amount originally invested.

Investors should carefully read the Key Investor Document (KIID) and Fund Supplement before making a decision to invest.

Past performance is not indicative of future performance.

Return vs risk



Key facts

CGWM Bond Fund

Designed to generate positive total returns from a diversified portfolio of bonds and other debt securities and collective investment schemes (CIS).

Managers: Paul Philp

Cumulative performance (%)

Total return from inception to 31/10/2020 (peer group data from Morningstar, these figures are unaudited)

	1 month	3 months	YTD	1 year	3 years	5 years	Inception
CGWM Bond	+0.06	+0.11	+1.37	+1.84	+4.93	+14.14	+61.19
FTSE UK GBI 3-7yr GBP	-0.14	-0.45	+2.93	+2.14	+6.04	+10.61	+73.14

CGWM Cautious Fund

Designed to generate an income. The fund manages risk to preserve capital value and achieve a consistent total return. The fund holds multiple asset classes including collective investment schemes, direct bonds and other yielding assets. **Managers:** Justin Oliver/Alison Brooks

Cumulative performance (%)

Total return from inception to 31/10/2020 (peer group data from Morningstar, these figures are unaudited)

	1 month	3 months	YTD	1 year	3 years	5 years	Inception (Apr 2003)
CGWM Cautious	-1.32	-0.95	-10.62	-8.51	-5.29	+6.44	+96.57
Intl. peer group	-0.79	-0.33	-1.38	-0.01	+3.97	+14.56	+130.52

CGWM Diversity Fund

Designed for those investors seeking a consistent total return from a combination of capital growth and income with the prospect of moderate growth over the longer-term. The fund holds multiple asset classes to achieve its goal. **Managers:** Justin Oliver/Alison Brooks

Cumulative performance (%)

Total return from inception to 31/10/2020 (peer group data from Morningstar, these figures are unaudited)

	1 month	3 months	YTD	1 year	3 years	5 years	Inception (Mar 2000)
CGWM Diversity	-0.50	+1.07	+3.46	+7.24	+11.42	+24.51	+93.83
Intl. peer group	-1.11	-0.34	-2.36	+0.50	+5.30	+16.77	+49.86

CGWM Affinity Fund

Designed for those investors seeking growth over the longer term. The portfolio invests to achieve the best return while considering the risk of each investment. The fund holds multiple asset classes to achieve this. **Managers:** Justin Oliver/Alison Brooks

Cumulative performance (%)

Total return from inception to 31/10/2020 (peer group data from Morningstar, these figures are unaudited)

	1 month	3 months	YTD	1 year	3 years	5 years	Inception (Sep 2008)
CGWM Affinity	-0.93	+1.41	+4.40	+9.91	+13.04	+32.87	+81.72
Intl. peer group	-1.52	-0.13	-3.09	+1.02	+5.61	+19.51	+54.61

CGWM Opportunity Fund

Designed for those investors seeking high growth from a concentrated portfolio of investment ideas and themes. The fund holds multiple asset classes but focuses on achieving growth with less regard to volatility. **Manager:** Mark Piper

Cumulative performance (%)

Total return from inception to 31/10/2020 (peer group data from Morningstar, these figures are unaudited)

	1 month	3 months	YTD	1 year	3 years	5 years	Inception (April 2001)
CGWM Opportunity	-0.80	+2.16	+3.26	+8.36	+12.38	+30.91	+324.01
Intl. peer group	-1.52	-0.13	-3.09	+1.02	+5.61	+19.51	+88.43

CGWM Global Equity Fund

Designed for those investors seeking to generate capital growth by investing directly in the shares of companies (i.e. equities) from around the globe.

Manager: William Lamond

Cumulative performance (%)

Total return to end of last calendar quarter (peer group data from Morningstar, these figures are unaudited)

	1 month	3 months	YTD	1 year	3 years	Inception (June 2016)
CGWM Global Equity	-4.61	-3.32	-13.49	-8.12	-6.67	+16.23
FTSE All World Total Return USD	-2.46	+0.33	-0.92	+5.18	+18.91	+52.69

Sub-Funds and share classes

CGWM Bond Fund	ISIN	Initial Fee	AMC	Ongoing Charge
A GBP (Accumulation)	IE00BYNFAQ59	0%	0.5%	0.93%
A GBP (Distribution)	IE00BGLP1Z72	0%	0.5%	0.93%
A EUR Hedged (Accumulation)	IE00BYNFAQ566	0%	0.5%	0.93%
A EUR Hedged (Distribution)	IE00BGLP2096	0%	0.5%	0.93%
A USD Hedged (Accumulation)	IE00BYNFAQ673	0%	0.5%	0.93%
A USD Hedged (Distribution)	IE00BGLP2104	0%	0.5%	0.93%
A SGD Hedged (Accumulation)	IE00BYPZNZ37	0%	0.5%	0.93%
A SGD Hedged (Distribution)	IE00BYPZP025	0%	0.5%	0.93%
A AUD Hedged (Accumulation)	IE00BFXG5H19	0%	0.5%	0.93%
A CAD Hedged (Accumulation)	IE00BFXG5J33	0%	0.5%	0.93%
A CHF Hedged (Accumulation)	IE00BFXG5K48	0%	0.5%	0.93%
CGWM Cautious Fund	ISIN	Initial Fee	AMC	Ongoing Charge
A GBP (Accumulation)	IE00B3BRDN43	0%	1.5%	2.44%
A GBP (Distribution)	IE00B3BRDP66	0%	1.5%	2.44%
A USD Hedged (Accumulation)	IE00BYPZNY70	0%	1.5%	2.44%
A EUR Hedged (Accumulation)	IE00BYPZNB92	0%	1.5%	2.44%
A SGD Hedged (Accumulation)	IE00BYPZNC00	0%	1.5%	2.44%
A AUD Hedged (Accumulation)	IE00BFXG4M96	0%	1.5%	2.44%
A CAD Hedged (Accumulation)	IE00BFXG4B81	0%	1.5%	2.44%
A CHF Hedged (Accumulation)	IE00BFXG4C98	0%	1.5%	2.44%
R GBP (Accumulation)	IE00BYPZND17	0%	0.8%	1.59%
R GBP (Distribution)	IE00BSJCDX67	0%	0.8%	1.59%
R AUD Hedged (Accumulation)	IE00BFXG4D06	0%	0.8%	1.59%
R CAD Hedged (Accumulation)	IE00BFXG4F20	0%	0.8%	1.59%
R CHF Hedged (Accumulation)	IE00BFXG4G37	0%	0.8%	1.59%
T GBP (Accumulation)	IE00BFXG4H44	4%	1.5%	2.44%
T EUR Hedged (Accumulation)	IE00BFXG4J67	4%	1.5%	2.44%
T SGD Hedged (Accumulation)	IE00BFXG4K72	4%	1.5%	2.44%
T USD Hedged (Accumulation)	IE00BFXG4L89	4%	1.5%	2.44%

CGWM Diversity Fund	ISIN	Initial Fee	AMC	Ongoing Charge
A USD (Accumulation)	IE00B3BRDM36	0%	1.5%	2.25%
A GBP Hedged (Accumulation)	IE00BFXG4N04	0%	1.5%	2.25%
A GBP Hedged (Distribution)	IE00BFXG4P28	0%	1.5%	2.25%
A EUR Hedged (Accumulation)	IE00BSJCDW50	0%	1.5%	2.25%
A SGD Hedged (Accumulation)	IE00BFXG4Q35	0%	1.5%	2.25%
A SGD Hedged (Distribution)	IE00B54L8R61	0%	1.5%	2.25%
A AUD Hedged (Accumulation)	IE00BFXG4R42	0%	1.5%	2.25%
A CAD Hedged (Accumulation)	IE00BFXG4S58	0%	1.5%	2.25%
A CHF Hedged (Accumulation)	IE00BFXG4T65	0%	1.5%	2.25%
R USD (Accumulation)	IE00BYPZP462	0%	0.8%	1.55%
R GBP Hedged (Accumulation)	IE00BFXG4V87	0%	0.8%	1.55%
R GBP Hedged (Distribution)	IE00BFXG4W94	0%	0.8%	1.55%
R EUR Hedged (Accumulation)	IE00BYPZP579	0%	0.8%	1.55%
R SGD Hedged (Accumulation)	IE00BYPZP686	0%	0.8%	1.55%
R AUD Hedged (Accumulation)	IE00BFXG4X02	0%	0.8%	1.55%
R CAD Hedged (Accumulation)	IE00BFXG4Y19	0%	0.8%	1.55%
R CHF Hedged (Accumulation)	IE00BFXG4Z26	0%	0.8%	1.55%
T USD (Accumulation)	IE00BSJCDR08	4%	1.5%	2.25%
T GBP Hedged (Accumulation)	IE00BSJCDS15	4%	1.5%	2.25%
T EUR Hedged (Accumulation)	IE00BSJCDT22	4%	1.5%	2.25%
T SGD Hedged (Accumulation)	IE00BSJCDV44	4%	1.5%	2.25%
CGWM Affinity Fund	ISIN	Initial Fee	AMC	Ongoing Charge
A USD (Accumulation)	IE00B3BRDJ07	0%	1.5%	2.27%
A GBP Hedged (Accumulation)	IE00BFXG5261	0%	1.5%	2.27%
A GBP Hedged (Distribution)	IE00BFXG5378	0%	1.5%	2.27%
A EUR Hedged (Accumulation)	IE00BSJCJL65	0%	1.5%	2.27%
A SGD Hedged (Accumulation)	IE00BFXG5485	0%	1.5%	2.27%
A SGD Hedged (Distribution)	IE00B7R58130	0%	1.5%	2.27%
A AUD Hedged (Accumulation)	IE00BFXG5592	0%	1.5%	2.27%
A CAD Hedged (Accumulation)	IE00BFXG5600	0%	1.5%	2.27%
A CHF Hedged (Accumulation)	IE00BFXG5717	0%	1.5%	2.27%
R USD (Accumulation)	IE00BYPZNL90	0%	0.8%	1.57%
R GBP Hedged (Accumulation)	IE00BFXG5824	0%	0.8%	1.57%
R GBP Hedged (Distribution)	IE00BFXG5931	0%	0.8%	1.57%
R EUR Hedged (Accumulation)	IE00BYPZNM08	0%	0.8%	1.57%
R SGD Hedged (Accumulation)	IE00BYPZNN15	0%	0.8%	1.57%
R AUD Hedged (Accumulation)	IE00BFXG5B56	0%	0.8%	1.57%
R CAD Hedged (Accumulation)	IE00BFXG5C63	0%	0.8%	1.57%
R CHF Hedged (Accumulation)	IE00BFXG5D70	0%	0.8%	1.57%
T USD (Accumulation)	IE00BSJCJG13	4%	1.5%	2.27%
T GBP Hedged (Accumulation)	IE00BSJCJH20	4%	1.5%	2.27%
T EUR Hedged (Accumulation)	IE00BSJCJJ44	4%	1.5%	2.27%
T SGD Hedged (Accumulation)	IE00BSJCJK58	4%	1.5%	2.27%

CGWM Opportunity Fund	ISIN	Initial Fee	AMC	Ongoing Charge
A USD (Accumulation)	IE00B3BRDK12	0%	1.5%	2.15%
A GBP (Accumulation)	IE00BFXG5L54	0%	1.5%	2.15%
A GBP Hedged (Accumulation)	IE00BSJCJC74	0%	1.5%	2.15%
A EUR Hedged (Accumulation)	IE00BSJCJD81	0%	1.5%	2.15%
A SGD Hedged (Distribution)	IE00B7V99524	0%	1.5%	2.15%
A SGD Hedged (Accumulation)	IE00BFXG5M61	0%	1.5%	2.15%
A AUD Hedged (Accumulation)	IE00BFXG5N78	0%	1.5%	2.15%
A CAD Hedged (Accumulation)	IE00BFXG5P92	0%	1.5%	2.15%
A CHF Hedged (Accumulation)	IE00BFXG5Q00	0%	1.5%	2.15%
F GBP Hedged (Accumulation)	IE00BJ5CCK78	0.5%	1.5%	2.15%
F USD (Accumulation)	IE00BJ5CCJ63	0.5%	1.5%	2.15%
R USD (Accumulation)	IE00BYPZNT76	0%	0.8%	1.45%
R GBP (Accumulation)	IE00BJ5CCL85	0%	0.8%	1.45%
R GBP Hedged (Accumulation)	IE00BSJCJF06	0%	0.8%	1.45%
R EUR Hedged (Accumulation)	IE00BYPZNV98	0%	0.8%	1.45%
R SGD Hedged (Accumulation)	IE00BYPZNW06	0%	0.8%	1.45%
R AUD Hedged (Accumulation)	IE00BFXG8H24	0%	0.8%	1.45%
R CAD Hedged (Accumulation)	IE00BFXG5R17	0%	0.8%	1.45%
R CHF Hedged (Accumulation)	IE00BFXG5S24	0%	0.8%	1.45%
T USD (Accumulation)	IE00BSJCJ725	4%	1.5%	2.15%
T GBP Hedged (Accumulation)	IE00BSJCJ832	4%	1.5%	2.15%
T EUR Hedged (Accumulation)	IE00BSJCJ949	4%	1.5%	2.15%
T SGD Hedged (Accumulation)	IE00BSJCJB67	4%	1.5%	2.15%
CGWM Global Equity Fund	ISIN	Initial Fee	AMC	Ongoing Charge
A USD (Accumulation)	IE00BDF17P27	0%	1.50%	1.79%
A SGD Hedged (Accumulation)	IE00BDF17Q34	0%	1.50%	1.79%
R USD (Accumulation)	IE00BDF17R41	0%	0.80%	1.09%
R USD (Distribution)	IE00BDF17S57	0%	0.80%	1.09%
R GBP Hedged (Accumulation)	IE00BDF17T64	0%	0.80%	1.09%
R GBP Hedged (Distribution)	IE00BDF17V86	0%	0.80%	1.09%
T USD (Accumulation)	IE00BDF17W93	4%	1.50%	1.79%
T GBP Hedged (Accumulation)	IE00BDF17X01	4%	1.50%	1.79%
T EUR Hedged (Accumulation)	IE00BDF17Y18	4%	1.50%	1.79%
T SGD Hedged (Accumulation)	IE00BDF17Z25	4%	1.50%	1.79%

How should you structure your investments?

Investment wrapper e.g. Life Company Portfolio Bond

Core holdings

Purpose

- 'Heart' of portfolio
- Produce stable consistent returns

Characteristics

- Diversified
- Normally global mandate
- Risk profiled

Typical Fund

- Multi-manager portfolio

Satellite holdings

Purpose

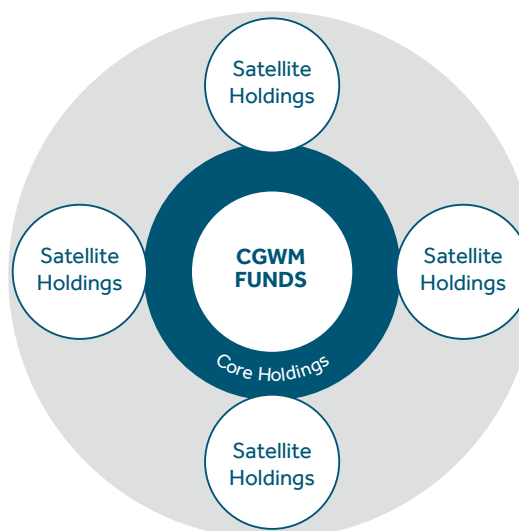
- 'Spice' of portfolio

Characteristics

- Specialist
- Thematic
- Momentum driven

Typical Fund

- Emerging markets
- Single country
- Commodities



Regulatory status

- CGWM Bond Fund, CGWM Cautious Fund, CGWM Diversity Fund, CGWM Affinity Fund, CGWM Opportunity Fund and CGWM Global Equity Fund are registered in (1) Guernsey, (2) Jersey, (3) Ireland, (4) Isle of Man, (5) UK, (6) Cyprus, (7) South Africa and (8) Singapore.
- CGWM Cautious, CGWM Diversity Fund, CGWM Affinity Fund and CGWM Opportunity Fund are registered in (9) Dubai.
- CGWM Bond Fund, CGWM Cautious Fund, CGWM Diversity Fund, CGWM Affinity Fund and CGWM Opportunity Fund are registered in (10) Austria, (11) Belgium, (12) France, (13) Germany, (14) Italy (Qualified), (15) Spain and (16) Switzerland.

Jurisdiction	Regulatory authority	Regulation
Austria	Financial Market Authority (FMA)	The Funds are registered under Article 93(3) of Directive 2009/65/EC of the European Union
Belgium	Financial Services and Markets Authority (FSMA)	The Funds are registered under Article 93(3) of Directive 2009/65/EC of the European Union
Cyprus	The Cyprus Securities and Exchange Commission (CySEC)	The Funds are governed by Law No.78(1)/2012 (the "UCI Law"), transposing the UCITS IV Directive into national law
France	Autorite des marches financiers (AMF)	The Funds are registered under Article 93(3) of Directive 2009/65/EC of the European Union
Germany	Bundesanstalt fur Finanzdienstleistungsaufsicht (BaFIN)	The Funds are registered under Article 93(3) of Directive 2009/65/EC of the European Union
Guernsey	The Guernsey Financial Services Commission (GFSC)	The Funds have been granted designated status under Section 29(1) of the Protection of Investors (Bailiwick of Guernsey) Law, 1987, as amended ('the Law'), and the Investor Protection (Designated Countries and Territories) Regulations 1989 and 1992
Ireland	The Central Bank of Ireland	The Funds are authorised by the Central Bank of Ireland and are authorised under the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations, 2011 (as amended)
Isle of Man	The IOM Financial Services Authority	The Funds are recognised under schedule 4 of the Collective Investment Schemes Act 2008, by the IOM Financial Services Authority
Italy	Commissione Nazionale per le Societa e la Borsa (CONSOB)	The Funds are registered under Article 93(3) of Directive 2009/65/EC of the European Union
Jersey	The Jersey Financial Services Commission (JFSC)	The operation of investment funds in Jersey is governed principally by the Control of Borrowing (Jersey) Order 1958 and the Collective Investment Funds (Jersey) Law 1988
Singapore	Monetary Authority of Singapore (MAS)	The Funds are authorised as a restricted foreign scheme
South Africa	Financial Sector Conduct Authority Republic of South Africa (FSCA)	The Funds are categorised as a collective investment scheme (CIS) in accordance with section 65 of the Collective Investment Schemes Control Act, 2002
Spain	Comision Macional Del Mercado De Valores (CNMV)	The Funds are registered under Article 93(3) of Directive 2009/65/EC of the European Union
Switzerland	The Federal Financial Market Supervisory Authority (FINMA)	The Funds are considered foreign investment schemes pursuant to Article 119 of the Swiss Federal Collective Investment Schemes Act (CISA)
United Arab Emirates	Emirates Securities & Commodities Authority	A number of the sub-funds are registered as foreign investment funds with the Emirates Securities & Commodities Authority
United Kingdom	The Financial Conduct Authority (FCA)	The Funds are recognised under section 264 of the Financial Services and Markets Act 2000

Share class types

Class	Subscription Charge	Investment Management Fee	Investment Minimum
A	≤ 5% ¹	1.5% ²	5,000
F	0.5%	1.5%	5,000
R	≤ 5%	0.8%	50,000
T	4%	1.5%	5,000

¹Up to 3% for CGWM Bond Fund. Only applied on request. ²0.5% for CGWM Bond Fund - no trail paid and no R class available.

All share classes are listed on the Irish Stock Exchange.

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The registered office of CGIF is 25-28 North Wall Quay, IFSC, Dublin 1, Republic of Ireland. CGIF is regulated by the Central Bank of Ireland. In the UK, CGIF is a recognised collective investment scheme for the purposes of Section 264 of the UK Financial Services and Markets Act, 2000. In Guernsey, CGIF is recognised under Section 29(1) of the Protection of Investors (Bailiwick of Guernsey) Law, 1987 and the Investor Protection (Designated Countries and Territories) Regulations 1989 and 1992. In Jersey, CGIF is recognised under the Control of Borrowing (Jersey) Order 1958 and the Collective Investment Funds (Jersey) Law 1988. In Singapore, a number of CGIF sub-funds are classified as Restricted Foreign Schemes by the Monetary Authority of Singapore under paragraph 2(3) of the Sixth Schedule to the Securities and Futures (Offers of Investments) (Collective Investment Schemes) Regulations 2009. In the Isle of Man, CGIF is recognised under Paragraph 1 of Schedule 4 to the Collective Investment Schemes Act 2008. The Isle of Man Financial Services Authority does not vouch for the correctness of any statements or opinions expressed with regard to CGIF. In Austria, Belgium, France, Germany, Italy and Spain a number of the CGIF sub-funds are registered under Article 93(3) of Directive 2009/65/EC of the European Union. In Cyprus the marketing activities of the CGIF sub-funds will be governed by Law No.78(I)/2012 as a result of the availing UCITS passport.

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