

Fees & other charges

Inheritance tax portfolio service via a financial adviser

Account fees and charges

Annual management fee 1%
Calculated on the value of your portfolio at the end of each quarter¹, and charged quarterly in advance.

Other charges relating to portfolio activity

Transaction charge² £40 per transaction

Other charges

VAT is applied to all management fees.

New issues and UK equity bargains over £10,000 will attract the Panel on Takeovers and Mergers (PTM) Levy of, currently, £1 per transaction.

Legal Entity Identifier where required
£115 + VAT.

Transfer out charges

If you transfer your assets to another manager the following charges will apply:

- UK securities held electronically in CREST £20 per line

Payments:

- BACS payments are free of charge
- CHAPS/SWIFT £25 per payment.

Additional services

Additional charges may apply where you request additional services or information, for example, special valuations. Please speak to your Canaccord Genuity contact for details.

Charges illustration

Year 1	Monetary value	Percentage of investment
Initial investment:	£1,000,000	
Investment and/or ancillary services ³	£13,440	1.34%
Financial instruments ⁴	£0	0.00%
Total costs and charges ⁵	£13,440	1.34%

Year 5 including investment growth ⁶	Monetary value	Percentage of investment
Portfolio value:	£1,276,282	
Investment and/or ancillary services ³	£14,746	1.21%
Financial instruments ⁴	£0	0.00%
Total costs and charges ⁵	£14,746	1.21%

¹ The last business day of March, June, September and December

² Referred to historically as the administration charge

³ CGWM management fee, trade costs, VAT and stamp duty

⁴ Fees on collective investments including transaction costs

⁵ CGWM does not receive third party payments, such as trail commission; therefore, any third party payment charge would be zero in year 1 and subsequent years and excluded from this illustration

⁶ Including 5% compound annual growth rate. Total growth £276,282 and total charges £68,388.

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