

DECLARATION OF CITIZENSHIP AND TAX RESIDENCY

Individuals including Controlling Persons

This form collects additional information required as a result of the US Foreign Accounts Tax Compliance Act (FATCA) and any other tax information exchange agreements which have been or may be entered into by the UK.

Please complete a separate form for each account holder, controlling person and individual who holds a power of attorney or is an authorised signatory on the account.

Name

Country of birth

Citizenship(s)

List all

Please provide us with details of all countries in which you are tax resident along with your tax identification numbers.

Country of Tax Residency <i>List all</i>	Tax Identification Number(s)

If you are a US citizen, please provide us with a completed Form W9. This form can be found at <http://www.irs.gov/pub/irs-pdf/fw9.pdf>

If you were born in the US but are not a US citizen, please provide us with a copy of your Certificate of Loss of Nationality, or other reason why you are not a US citizen.

Declaration and undertaking

1. I confirm that the information above is correct and complete to the best of my knowledge
2. I understand that Canaccord Genuity may be obliged to provide my account information to the UK tax authorities who may share it with other tax authorities
3. I undertake to advise Canaccord Genuity promptly of any change in the following information: countries of citizenship; residency for tax purposes; residential and correspondence addresses; telephone numbers.

Signed _____ Date _____

Account Executive Confirmation

Signed _____ Date _____

For Office Use Only

Account: Reportable / Not Reportable

Payments: Reportable / Not Reportable